



RCRA Large Quantity Hazardous Waste Generator Inspection Checklist UNIFIED PROGRAM AGENCY (UPA)

Recordkeeping/Documentation

Ad	m	ın	ıct	ra	tı	Λn
$\neg u$			เอเ	10	ш	w

Violation #	Summary
F006	Failure to pay the RCRA Large Quantity Hazardous Waste Generator permit fees. KCOC 8.04.030
ID N	umber

ID Number

Violation #	Summary
VIOIGIOII //	Oulling

	H358	Failure to obtain an Identification Number prior to treating, storing, disposing of, transporting or offering for transportation any
		hazardous waste. 22 CCR 12 66262.12

Contingency Plan

Violation # **Summary**

	H368	Failure to prepare and implement a written Contingency Plan to minimize hazards to human health or the environment from fires, explosions, or any unplanned sudden or non-sudden release of hazardous waste or hazardous waste constituents to air, soil, or surface water. 22 CCR 12 66262.34(a)(4); 22 CCR 15 66265.51
		Failure to maintain a copy of the contingency plan and all its revisions at the facility and to submit a copy to all local police departments, fire departments, hospitals, and State and local emergency response teams that may be called upon to provide emergency services. 22 CCR 12 66262.34(a)(4); 22 CCR 15 66265.53
	H369	Failure to include all of the following in the Contingency Plan: description of actions personnel will take in response to a release or emergency, arrangements with local authorities, list of emergency coordinators including name, addresses & phone numbers, list of emergency equipment and their physical description, location and capabilities, evacuation plan, and OES phone number. (If the owner or operator has already prepared a Spill Prevention, Control, and Countermeasures (SPCC) Plan or some other emergency or contingency plan, the owner or operator need only amend that plan to incorporate hazardous waste management provisions.) 22 CCR 12 66262.34(a)(4); 22 CCR 15 66265.52

Training

Violation # Summary

H367	Failure to provide employees with hazardous waste training program of class room instructions or on-the-job training within the first
	six months after the date of their employment or assignment to a facility, or to a new position at a facility and annually thereafter.
	Training records on current personnel shall be kept until closure of the facility and for former employees the record shall be kept for
	at least three years from the date the employee last worked at the facility. The records shall include the following: the job title for
	each position at the facility related to hazardous waste management, and the name of the employee filling each job, a written job
	description for each position, duties of facility personnel assigned to each position, and a written description of the type and amount
	of both introductory and continuing training that will be given to each person filling a position. 22 CCR 12 66262.34(a)(4); 22 CCR
	15 66265.16

Manifest

Violation # Summary

H360	Failure to prepare a Uniform Hazardous Waste Manifest and, if necessary, a Continuation Sheet, before the transport of a hazardous waste off-site for transfer, treatment, storage, or disposal. 22 CCR 12 66262.20
H363	Failure to properly complete the Uniform Hazardous Waste Manifest. 22 CCR 12 66262.23(a)
H364	Failure to complete the Uniform Hazardous Waste Manifest exception requirements. 22 CCR 12 66262.42
H365	Failure to keep a copy of each properly signed manifest for at least three years from the date the waste was accepted by the initial transporter. The manifest signed at the time the waste was accepted for transport shall be kept until receiving a signed copy from the designated facility which received the waste. 22 CCR 12 66262.40(a)
H361	Failure to send a legible copy of each hazardous waste manifest that originated in paper form to the Department within 30 days of each shipment of hazardous waste. 22 CCR 12 66262.23(a)(4); HSC 6.5 25160(b)(1)(C)
R332	Failure of a generator of hazardous waste that meets the conditions to be transported on a consolidated manifest to comply with one or more of the required consolidated manifesting procedures and retain copies of receipts for three years. HSC 6.5 25160.2

Page 1 of 8 7/27/2023

R333	Failure to record in an operating log and retain for three years the following information for each shipment of recycled or exempted oil: 1) The name and address of the used oil recycling facility or generator claiming the oil meets the requirements of HSC 6.5 25250.1. 2) The name and address of the facility receiving the shipment. 3) The quantity of oil delivered. 4) The date of shipment or delivery. 5) A cross-reference to the records and documentation required under HSC 6.5 25250.1. HSC 6.5 25250.19(b)(2), 25250.18, 25250.1
R250	Failure to retain for at least three years a legible copy of each manifest or bill of lading which identifies spent lead-acid storage batteries shipped to a person who stores the batteries or who uses, reuses, recycles or reclaims the batteries or their components. 22 CCR 16 66266.81(a)(4)(B)

Waste Determination

Violation #	Summary
H359	Failure to determine if wastes generated are hazardous waste by using generator knowledge or applying testing method. 22 CCR 12 66262.11
R351	Failure to keep records of any test results, waste analyses, or other determinations made in accordance with section 66262.11 for at least three years from the date that the waste was last sent to on-site or off-site treatment, storage, or disposal. 22 CCR 12 66262.40(c)
H362	Failure to determine whether waste is restricted from land disposal. 22 CCR 12 66262.34(a)(4); 22 CCR 18 66268.7(a)

Reporting

Violation #	Summary
-------------	---------

R334	Failure to report program data electronically. HSC 6.11 25404(e)(4)
R335	Failure of any person who recycles more than 100 kilograms per month of recyclable material under a claim that the material qualifies for exclusion or exemption, to submit recyclable materials report every two years. HSC 6.5 25143.10
R336	Failed to submit Remote Waste Consolidation Site Annual Notification. HSC 6.5 25110.10(d)
H378	Failure of a generator to conduct, when required, a source reduction evaluation review and plan every four years, and/or failure of the review and plan to contain all required information. 22 CCR 31 67100.7, 67100.8
H373	Failure of a large quantity generator to prepare a summary progress report every four years, using DTSC's Form # 1262 (3/99) titled "Summary Progress Report". 22 CCR 31 67100.9
H377	Failure to adequately complete, and maintain for review, all requirements of the source reduction evaluation review and plan (SB-14). 22 CCR 31 67100.3, 67100.4, 67100.5; HSC 6.5 25244.19, 25244.21
H376	Failure of a large quantity RCRA generator to prepare the Biennial report (Form 8700), and submit to DTSC by March 1st on even numbered years; and maintain it onsite for three years. 22 CCR 15 66262.40(b), 66262.41

7/27/2023 Page 2 of 8

Hazardous Waste Management

Disposal

Violation #	Summary
H366	Failure to use a DTSC registered hazardous waste transporter to transport hazardous waste or transporting hazardous waste without being a DTSC registered hazardous waste transporter. HSC 6.5 25163(a)
R298	Failure to dispose of hazardous waste at a facility which has a permit from DTSC or disposing of hazardous waste at any point which is not authorized. HSC 6.5 25189.5(a), 25201(a)
R358	Failure to obtain permission from an authorized agent or court to remove, transfer, or dispose of hazardous waste that is under quarantined. HSC 6.5 25187.6

Accumulation Time Limits

Violation #	Summary
H380	Failure to send hazardous waste offsite for treatment, storage, or disposal within 90 days for a generator who generates 1000 kilograms or more of RCRA hazardous waste or one kilogram of acute hazardous waste per month. 22 CCR 12 66262.34(a)(c); HSC 6.5 25123.3(b)(1)
R347	Failure to meet the following conditions of satellite accumulation regulations: • Accumulate up to 55 gallons of hazardous waste or one quart of acute hazardous waste at or near the initial point of accumulation which was under the control of the operator • Total time hazardous waste can be accumulated onsite in any generator accumulation area is one year, i.e., the combined accumulation time at a satellite accumulation point and at a 90/180/270 accumulation area (depending on size of generator and distance transported), whichever comes first. • The generator complies with sections 66265.171, 66264.172, and 66265.173(a). • The container must be clearly marked with the initial date that hazardous waste is first placed in the container and labelled with the words "Hazardous Waste" including specified information • Container must be labelled with the date the satellite accumulation limit is reached and moved within three days after reaching the 55 gallon (or one quart) limit to a "90 day" accumulation area • 55 gallons (or one quart of acute hazardous waste) of waste per process may be accumulated 22 CCR 12 66262.34(e)

Container Management

Vic	olation #	Summary
	H379	Failure to properly label hazardous waste accumulation containers with the following requirements: "Hazardous Waste", name and address of the generator, physical and chemical characteristics of the Hazardous Waste, and starting accumulation date. 22 CCR 12 66262.34(f)
	H381	Failure to accumulate hazardous waste in a container that is in good condition. 22 CCR 12 66262.34(a)(1); 22 CCR 15 66265.171
	H382	Failure to accumulate or store hazardous waste in a container made of or lined with materials which will not react with, and are otherwise compatible with, the hazardous waste to be stored, so that the ability of the container to contain the waste is not impaired. 22 CCR 12 66262.34(a); 22 CCR 15 66265.172
	H384	Failure to meet the following container management requirements: (a) A container holding hazardous waste must always be closed during storage, except when it is necessary to add or remove waste. (b) A container holding hazardous waste must not be opened, handled, or stored in a manner which may rupture the container or cause it to leak. 22 CCR 12 66262.34(a)(1); 22 CCR 15 66265.173
	H383	Failure to inspect weekly areas where hazardous waste containers are stored. The owner or operator must look for leaking containers and for deterioration of containers caused by corrosion or other factors. 22 CCR 12 66262.34(a); 22 CCR 15 66265.174
	H385	Failure to keep reactive and ignitable waste at least 15 meters (50 feet) from the facility's property line. 22 CCR 12 66262.34(a) (1); 22 CCR 15 66265.176
	H388	Failure to separate incompatible wastes from the same container, nearby containers, or unwashed containers which previously contained incompatible waste or materials. 22 CCR 12 66262.34(a)(1); 22 CCR 15 66265.17(b), 66265.177
	A269	Failure to comply with air emission requirements as specified in articles 27, 28, and 28.5 of chapter 15. 22 CCR 12 66262.34(a)(1); 22 CCR 15 66265.178
	R348	Failure to manage empty containers greater than 5 gallons in capacity that previously held a hazardous material/waste in accordance with 22 CCR 11 66261.7 including but not limited to the following: (e)(2)By reclaiming its scrap value onsite or shipping the container or inner liner to a person who reclaims its scrap value; or (3) By reconditioning or remanufacturing the container or inner liner onsite for subsequent reuse, or shipping the container or inner liner to a person who reconditions or remanufactures the container or inner liner; or (4) By shipping the container or inner liner to a supplier or to another intermediate collection location for accumulation prior to managing the container or inner liner pursuant to subsections (e)(2) or (e)(3) of 22 CCR 11 66261.7; or (i) By shipping the container or inner liner back to the supplier for the purpose of being refilled. (f) A container or an inner liner removed from a container larger than five gallons in capacity which is managed pursuant to subsection (e) of 22 CCR 11 66261.7 shall be marked with the date it has been emptied and shall be managed within one year of being emptied. 22 CCR 11 66261.7

7/27/2023 Page 3 of 8

Tank Management

Violation #

R296

Summary

to placing the tank system in service. The written assessment shall state that, the new hazardous waste tank system has sufficient structural integrity, is acceptable for the transferring, storing and treating of hazardous waste, and that the tanks and containment system including the foundation, structural support, seams, connections, and pressure controls (if applicable) are suitably designed to meet the regulation. 22 CCR 15 66265.192(a) H372 Failure to include all required information in the new tank system assessment. 22 CCR 12 66262.34(a)(1); 22 CCR 15 66265.192(k) R337 Failure of generator to obtain assessment or reassessment every five (5) years or the remaining service life of the tank systems containing only non-RCRA hazardous wastes generated onsite. 2 CCR 12 66262.34(a)(1); 22 CCR 15 66265.192(h) H389 Failure to comply with general tank operating requirements: (a) Hazardous wastes or treatment reagents shall not be placed in a tank system if they could cause the tank, its ancillary equipment, or the secondary containment system to rupture, leak, corrode, or otherwise fall. (b) The owner or operator shall use appropriate controls and practices to prevent spills and overflows from tank or secondary containment systems. These include at a minimum: (1) spill prevention controls (e.g., check valves, dry discount couplings); (2) overfill prevention controls (e.g., level sensing devises, high level alarms, automatic feed cutoff, or bypass to a standby tank); and (3) maintenance of sufficient freeboard in uncovered tanks to prevent overtopping by wave or wind action or by precipitation. Uncovered tanks shall be operated to ensure at least 60 centimeters (2 feet) of freeboard, unlease the tank is equipped with a containment structure (e.g., dike or trench), a drainage control system or a diversion structure (e.g., standby tank) with a capacity that equals or exceeds the volume of the top 60 centimeters (2 feet) of the tank. 22 CCR 12 66262.34(a)(1); 22 CCR 15 66265.196. H399 Failure to moduct and documen	Violation #	Summary
to placing the tank system in service. The written assessment shall state that, the new hazardous waste, and that the tanks and containment system including the foundation, structural support, seams, connections, and pressure controls (if applicable) are suitably designed to meet the regulation. 22 CCR 15 66265. 192(a). H372 Failure to include all required information in the new tank system assessment. 22 CCR 12 66263 4(a)(1), 22 CCR 15 66265. 192(b). R337 Failure of generator to obtain assessment or reassessment every five (5) years or the remaining service life of the tank system, as stated in the engineer's assessment, whichever is less. This assessment applies to onground or aboveground tank systems, as stated in the engineer's assessment, whichever is less. This assessment applies to onground or aboveground tank systems containing nOtR hazardous wastes generated onsite. 2 CCR 071 66263.4(a)(1); 22 CCR 15 6525 (s)(b). H389 Failure to comply with general tank operating requirements: (a) Hazardous wastes or treatment reagents shall not be placed in a tank system if they could cause the tank, its ancillary equipment, or the secondary containment system to rupture, leak, corrode, or otherwise fail. (b) The owner or operator shall use appropriate controls and practices to prevent spills and overlower from tank or secondary containment system to rupture, leak, corrode, or otherwise fail. (a) maintenance of sufficient freedoral in uncovered tanks to prevent or prevent spills and overlower from tank or secondary containment for properties and the spill of the spil	R346	
R337 Failure of generator to obtain assessment or reassessment every five (5) years or the remaining service little of the tank system, as stated in the engineer's assessment, whichever is less. This assessment applies to onground or aboveground tank systems containing not you non-RCRh hazardous wastes generated onsite, or for a small quantity generator onground or aboveground tank systems containing RCRA hazardous wastes generated onsite. 22 CCR 12 6626.24(a)(1); 22 CCR 15 66265.192(h) H389 Failure to comply with generated regardous wastes generated onsite. 22 CCR 12 66262.34(a)(1); 22 CCR 15 66265.192(h) H389 Failure to comply with generated interpretations of the properties of the secondary containment system to rupture, leak, corrode, or otherwise fall. (b) The owner or operator shall use appropriate controls and practices to prevent spills and overflows from tank or secondary containment system to rupture, leak, corrode, or otherwise fall. (b) The owner or operator shall use appropriate controls and practices to prevent spills and overflows from tank or secondary containment of sufficient freeded tanks to prevent overtoping by a vew or writed action or by precipitation. Uncovered tanks shall be operated to ensure at least 60 centimeters (2 feet) of freeboard, unless the tank is equipped with a Uncovered tanks shall be operated to ensure at least 60 centimeters (2 feet) of freeboard, unless the tank is equipped with a the equal so revoeds the volume of the lop 60 centimeters (2 feet) of the tank. 22 CCR 12 6626.24(a)(1); 22 CCR 15 66265.194. H393 Failure to meet required secondary containment requirements for hazardous waste tank systems as tank systems 22 CCR 15 66265.194. H394 Failure of the conduct and document inspections of hazardous waste tank systems each operating day and retain records of those inspections at the facility. 22 CCR 12 66262.34(a)(1); 22 CCR 15 66265.194(a)(1); 22 CCR 15 662	H371	structural integrity, is acceptable for the transferring, storing and treating of hazardous waste, and that the tanks and containment system including the foundation, structural support, seams, connections, and pressure controls (if applicable) are suitably designed
stated in the engineer's assessment, whichever is less. This assessment applies to onground or aboveground tank systems containing not pron-RCRA hazardous wastes generated onsite. 2 CCR 12 66262.34(a)(1), 22 CCR 15 66265.192(n) H389 Failure to comply with general tank operating requirements: (a) Hazardous wastes or treatment reagents shall not be placed in a tank system if they could cause the tank, its ancillary equipment, or the secondary containment system to rupture, leak, corrode, or otherwise fail. (b) The owner or operator shall use appropriate controls and practices to prevent spills and overflows from tank or secondary containment systems. These include at an minimum: (1) spill prevention controls (e.g., check valves, dry discount couplings); (2) overfill prevention controls (e.g., level sensing devises, high level alarms, automatic feed cutoff, or bypass to a standby tank); and (3) maintenance of sufficient freeboard in uncovered tanks to prevent overflopping by wave or wind action or by precipitation. Uncovered tanks shall be operated to ensure at least 60 centimeters (2 feet) of freeboard, unless the tank is equipped with a containment structure (e.g., die or trench), a drainage control system or a diversion structure (e.g., die or trench), a drainage control system or a diversion structure (a.g., die) or trench and the containment structure (e.g., die or trench), a drainage control system or a diversion structure (e.g., die) or trequirements (2 feet) of freeboard, unless the tank is equipped with a containment structure (e.g., die) or trequirements (a feet) of freeboard, unless the tank is equipped with a containment structure (e.g., die) or trequirements (a feet) of freeboard, unless the tank is deviced to the containment or experiments of the prevent overtice or treating the containment of the containment or experiments or treating the containment of the containment or experiments (a feet) or freeze or treating the containment or treating the containment or treating the containment or treatin	H372	
(a) Hazardous wastes or treatment reagents shall not be placed in a tank system if they could cause the tank , its ancillary equipment, or the secondary containment system to rupture, leak, corrode, or otherwise fail. (b) The owner or operator shall use appropriate controls and practices to prevent spills and overflows from tank or secondary containment systems. These include at a minimum: (1) spill prevention controls (e.g., cheek valves, dry discount couplings); (2) overfill prevention controls (e.g., seak valves, dry discount couplings); (2) overfill prevention controls (e.g., seak valves, dry discount couplings); (2) overfill prevention controls (e.g., seak valves, dry discount couplings); (2) overfill prevention controls (e.g., seak valves, dry discount couplings); (2) overfill prevention controls (e.g., seak valves, dry discount couplings); (2) overfill prevention controls (e.g., seak valves, dry discount couplings); (2) overfill prevention controls (e.g., seak valves, dry discount couplings); (2) overfill prevention controls (e.g., seak valves, dry discount couplings); (2) overfill prevention controls (e.g., seak valves, dry discount couplings); (2) overfill prevention controls (e.g., seak valves, dry discount couplings); (2) overfill prevention controls (e.g., seak valves, dry discount couplings); (2) overfill prevention controls (e.g., seak valves, dry discount couplings); (2) and control valves (e.g., seak valves, dry discount couplings); (2) and control valves (e.g., seak valves, dry discount couplings); (2) and control valves (e.g., seak valves, dry discount couplings); (2) and control valves (e.g., seak valves, dry discount couplings); (2) and control valves (e.g., seak valves, dry discount couplings); (2) and control valves (e.g., seak valves, dry discount couplings); (2) and control valves (e.g., seak valves, dry discount couplings); (2) and control valves (e.g., seak valves, dry discount couplings); (2) and couplings); (2) and control valves (e.g., seak valves, dry discount couplings); (2) and	R337	stated in the engineer's assessment, whichever is less. This assessment applies to onground or aboveground tank systems containing only non-RCRA hazardous wastes generated onsite, or for a small quantity generator onground or aboveground tank
H392 Failure to conduct and document inspections of hazardous waste tank systems each operating day and retain records of those inspections at the facility. 22 CCR 12 66262.34 (a)(1): 22 CCR 15 66265.195(a). 66265.195(c) H375 Failure of the owner or operator to inspect and document the cathodic protection systems to ensure the proper operation within six months after initial installation, and annually thereafter; and all sources of impressed current at least bimonthly (i.e., every other month). 22 CCR 12 66262.34(a); 22 CCR 15 66265.195(b), 66265.195(c) H374 Failure to obtain CUPA approval prior to the replacement of identical or functionally equivalent tank system parts or components not listed in CCR 66265.192(l). The notification to the CUPA of replacement shall include the following: (1) Name, address, and EPA identification number of the facility; (2) Date of planned replacement. (3) Description part or component to be replaced; (4) Description of the tank system and type of waste(s) handled; (5) Description of the tank system and type of waste(s) handled; (6) Description of the wink system and type of waste(s) handled; (6) Description of the wink system and type of waste(s) handled; (7) Description of the tank system or component to be replaced. 22 CCR 12 6626.34(a)(1): 22 CCR 15 66265.192(m) H402 Failure to immediately remove from service a tank system or secondary containment system from which there has been a leak, spill, is unfit for use and comply with applicable requirements. 22 CCR 12 66263.34(a)(1): 22 CCR 15 66265.196 H399 Failure to immediately remove from service a tank system or secondary containment system from which there has been a leak, spill, is unfit for use and comply with applicable requirements. 22 CCR 12 66262.34(a)(1): 22 CCR 15 66265.196 Failure to comply with air emission requirements as specified in articles 27, 28, and 28.5 of chapter 15. 22 CCR 12 66262.34(a)(1): 22 CCR 15 66265.502 Drip Pads Violation # Summary Failure to comply with Drip pad requirements including bu	H389	(a) Hazardous wastes or treatment reagents shall not be placed in a tank system if they could cause the tank, its ancillary equipment, or the secondary containment system to rupture, leak, corrode, or otherwise fail. (b) The owner or operator shall use appropriate controls and practices to prevent spills and overflows from tank or secondary containment systems. These include at a minimum: (1) spill prevention controls (e.g., check valves, dry discount couplings); (2) overfill prevention controls (e.g., level sensing devises, high level alarms, automatic feed cutoff, or bypass to a standby tank); and (3) maintenance of sufficient freeboard in uncovered tanks to prevent overtopping by wave or wind action or by precipitation. Uncovered tanks shall be operated to ensure at least 60 centimeters (2 feet) of freeboard, unless the tank is equipped with a
inspections at the facility. 22 CCR 12 66263.34 (a)(1): 22 CCR 15 66265.195(a). 66265.195(b) H375 Failure of the owner or operator to inspect and document the cathodic protection systems to ensure the proper operation within six months after initial installation, and annually thereafter; and all sources of impressed current at least bimonthly (i.e., every other month). 22 CCR 12 66262.34(a); 22 CCR 15 66265.195(b), 66265.195(c) H374 Failure to obtain CUPA approval prior to the replacement of identical or functionally equivalent tank system parts or components not listed in CCR 66265.192(l). The notification to the CUPA of replacement shall include the following: (1) Name, address, and EPA identification number of the facility; (2) Date of planned replacement; (3) Description part or component to be replaced; (4) Description of the tank system and type of waste(s) handled; (5) Description of how the part or component is identical or functionally equivalent to the part or component to be replaced. 22 CCR 12 66262.34(a)(1); 22 CCR 15 66265.192(m) H402 Failure to immediately remove from service a tank system or secondary containment system from which there has been a leak, spill, is unfit for use and comply with applicable requirements. 22 CCR 12 66262.34(a)(1); 22 CCR 15 66265.196 H399 Failure of owner or operator to properly close a hazardous waste tank system ensuring the minimization of further maintenance and that the required requirements have been met. 22 CCR 12 66262.34(a)(1); 22 CCR 15 66265.197 R349 Failure to comply with air emission requirements as specified in articles 27, 28, and 28.5 of chapter 15. 22 CCR 12 66262.34(a)(1); 22 CCR 15 66265.202 Drip Pads Violation # Summary R350 Failure to comply with Drip pad requirements including but not limited to design and operating requirements, annual assessment, contingency plan, inspections and closure requirements (Article 17.5 of Chapter 15). 22 CCR 12 66262.34 (a)(1)(B); 22 CCR 15 66265.445 Recyclable Materials Violation # Summary Failure to ma	H393	
months after initial installation, and annually thereafter; and all sources of impressed current at least bimonthly (i.e., every other month). 22 CCR 12 6626.34(a); 22 CCR 15 66265.195(b), 66265.195(b), 66265.195(c) H374 Failure to obtain CUPA approval prior to the replacement of identical or functionally equivalent tank system parts or components not listed in CCR 66265.192(l). The notification to the CUPA of replacement shall include the following: (1) Name, address, and EPA identification number of the facility; (2) Date of planned replacement; (3) Description part or component to be replaced; (4) Description of he thank system and type of waste(s) handled; (5) Description of how the part or component is identical or functionally equivalent to the part or component to be replaced. 22 CCR 12 66262.34(a)(1); 22 CCR 15 66265.192(m) H402 Failure to immediately remove from service a tank system or secondary containment system from which there has been a leak, spill, is unfit for use and comply with applicable requirements. 22 CCR 12 66262.34(a)(1); 22 CCR 15 66265.199 H399 Failure of owner or operator to properly close a hazardous waste tank system ensuring the minimization of further maintenance and that the required requirements have been met. 22 CCR 12 66262.34(a)(1); 22 CCR 15 66265.111, 66265.197 R349 Failure to comply with air emission requirements as specified in articles 27, 28, and 28.5 of chapter 15. 22 CCR 12 66262.34(a)(1); 22 CCR 15 66265.202 Drip Pads Violation # Summary R350 Failure to comply with Drip pad requirements including but not limited to design and operating requirements, annual assessment, contingency plan, inspections and closure requirements (Article 17.5 of Chapter 15). 22 CCR 12 66262.34 (a)(1)(B); 22 CCR 15 66265.44 Recyclable Materials Violation # Summary Failure to manage a recyclable material pursuant to HSC 6.5 25143.2(b), (c), or (d). HSC 6.5 25143.2, 25143.9 Used Oil Action of the prevent intentional contamination of used oil with other hazardous waste other than mi	H392	
not listed in CCR 66265.192(I). The notification to the CUPA of replacement shall include the following: (1) Name, address, and EPA identification number of the facility; (2) Date of planned replacement; (3) Description part or component to be replaced; (4) Description of the tank system and type of waste(s) handled; (5) Description of how the part or component is identical or functionally equivalent to the part or component to be replaced. 22 CCR 12 66262.34(a)(1); 22 CCR 15 66265.192(m) H402 Failure to immediately remove from service a tank system or secondary containment system from which there has been a leak, spill, is unfit for use and comply with applicable requirements. 22 CCR 12 66262.34(a)(1); 22 CCR 15 66265.196 H399 Failure of owner or operator to properly close a hazardous waste tank system ensuring the minimization of further maintenance and that the required requirements have been met. 22 CCR 12 66262.34(a)(1); 22 CCR 15 66265.111, 66265.197 Failure to comply with air emission requirements as specified in articles 27, 28, and 28.5 of chapter 15. 22 CCR 12 66262.34(a)(1); 22 CCR 15 66265.202 Drip Pads Violation # Summary R350 Failure to comply with Drip pad requirements including but not limited to design and operating requirements, annual assessment, contingency plan, inspections and closure requirements (Article 17.5 of Chapter 15). 22 CCR 12 66262.34 (a)(1)(B); 22 CCR 15 66265.440 - 66265.445 Recyclable Materials Violation # Summary R360 Failure to manage a recyclable material pursuant to HSC 6.5 25143.2(b), (c), or (d). HSC 6.5 25143.2, 25143.9 Used Oil Violation # Summary Failure to prevent intentional contamination of used oil with other hazardous waste other than minimal amounts of vehicle fuel. HSC 6.5 25250.7	H375	months after initial installation, and annually thereafter; and all sources of impressed current at least bimonthly (i.e., every other
spill, is unfit for use and comply with applicable requirements. 22 CCR 12 66262.34(a)(1); 22 CCR 15 66265.196 H399 Failure of owner or operator to properly close a hazardous waste tank system ensuring the minimization of further maintenance and that the required requirements have been met. 22 CCR 12 66262.34(a)(1); 22 CCR 15 66265.111, 66265.114, 66265.197 R349 Failure to comply with air emission requirements as specified in articles 27, 28, and 28.5 of chapter 15. 22 CCR 12 66262.34(a)(1); 22 CCR 15 66265.202 Drip Pads Violation # Summary R350 Failure to comply with Drip pad requirements including but not limited to design and operating requirements, annual assessment, contingency plan, inspections and closure requirements (Article 17.5 of Chapter 15). 22 CCR 12 66262.34 (a)(1)(B); 22 CCR 15 66265.440 - 66265.445 Recyclable Materials Violation # Summary R302 Failure to manage a recyclable material pursuant to HSC 6.5 25143.2(b), (c), or (d). HSC 6.5 25143.2, 25143.9 Used Oil Violation # Summary R360 Failure to prevent intentional contamination of used oil with other hazardous waste other than minimal amounts of vehicle fuel. HSC 6.5 25250.7 Used Oil and Fuel Filters	H374	not listed in CCR 66265.192(I). The notification to the CUPA of replacement shall include the following: (1) Name, address, and EPA identification number of the facility; (2) Date of planned replacement; (3) Description part or component to be replaced; (4) Description of the tank system and type of waste(s) handled; (5) Description of how the part or component is identical or functionally equivalent to the part or component to be replaced. 22
that the required requirements have been met. 22 CCR 12 66262.34(a)(1); 22 CCR 15 66265.111, 66265.114, 66265.197 R349 Failure to comply with air emission requirements as specified in articles 27, 28, and 28.5 of chapter 15. 22 CCR 12 66262.34(a)(1); 22 CCR 15 66265.202 Drip Pads Violation # Summary R350 Failure to comply with Drip pad requirements including but not limited to design and operating requirements, annual assessment, contingency plan, inspections and closure requirements (Article 17.5 of Chapter 15). 22 CCR 12 66262.34 (a)(1)(B); 22 CCR 15 66265.440 - 66265.445 Recyclable Materials Violation # Summary R302 Failure to manage a recyclable material pursuant to HSC 6.5 25143.2(b), (c), or (d). HSC 6.5 25143.2, 25143.9 Used Oil Violation # Summary R360 Failure to prevent intentional contamination of used oil with other hazardous waste other than minimal amounts of vehicle fuel. HSC 6.5 25250.7 Used Oil and Fuel Filters	H402	
Drip Pads Violation # Summary R350 Failure to comply with Drip pad requirements including but not limited to design and operating requirements, annual assessment, contingency plan, inspections and closure requirements (Article 17.5 of Chapter 15). 22 CCR 12 66262.34 (a)(1)(B); 22 CCR 15 66265.440 - 66265.445 Recyclable Materials Violation # Summary R302 Failure to manage a recyclable material pursuant to HSC 6.5 25143.2(b), (c), or (d). HSC 6.5 25143.2, 25143.9 Used Oil Violation # Summary R360 Failure to prevent intentional contamination of used oil with other hazardous waste other than minimal amounts of vehicle fuel. HSC 6.5 25250.7 Used Oil and Fuel Filters	H399	
R350 Failure to comply with Drip pad requirements including but not limited to design and operating requirements, annual assessment, contingency plan, inspections and closure requirements (Article 17.5 of Chapter 15). 22 CCR 12 66262.34 (a)(1)(B); 22 CCR 15 66265.440 - 66265.445	R349	Failure to comply with air emission requirements as specified in articles 27, 28, and 28.5 of chapter 15. 22 CCR 12 66262.34(a)(1); 22 CCR 15 66265.202
R350 Failure to comply with Drip pad requirements including but not limited to design and operating requirements, annual assessment, contingency plan, inspections and closure requirements (Article 17.5 of Chapter 15). 22 CCR 12 66262.34 (a)(1)(B); 22 CCR 15 66265.440 - 66265.445 Recyclable Materials Violation # Summary R302 Failure to manage a recyclable material pursuant to HSC 6.5 25143.2(b), (c), or (d). HSC 6.5 25143.2, 25143.9 Used Oil Violation # Summary R360 Failure to prevent intentional contamination of used oil with other hazardous waste other than minimal amounts of vehicle fuel. HSC 6.5 25250.7 Used Oil and Fuel Filters	Drip	Pads
contingency plan, inspections and closure requirements (Article 17.5 of Chapter 15). 22 CCR 12 66262.34 (a)(1)(B); 22 CCR 15 66265.440 - 66265.445 Recyclable Materials Violation # Summary R302 Failure to manage a recyclable material pursuant to HSC 6.5 25143.2(b), (c), or (d). HSC 6.5 25143.2, 25143.9 Used Oil Violation # Summary R360 Failure to prevent intentional contamination of used oil with other hazardous waste other than minimal amounts of vehicle fuel. HSC 6.5 25250.7 Used Oil and Fuel Filters	Violation #	
Violation # Summary R302 Failure to manage a recyclable material pursuant to HSC 6.5 25143.2(b), (c), or (d). HSC 6.5 25143.2, 25143.9 Used Oil Violation # Summary R360 Failure to prevent intentional contamination of used oil with other hazardous waste other than minimal amounts of vehicle fuel. HSC 6.5 25250.7 Used Oil and Fuel Filters	R350	contingency plan, inspections and closure requirements (Article 17.5 of Chapter 15). 22 CCR 12 66262.34 (a)(1)(B); 22 CCR 15
R302 Failure to manage a recyclable material pursuant to HSC 6.5 25143.2(b), (c), or (d). HSC 6.5 25143.2, 25143.9 Used Oil Violation # Summary R360 Failure to prevent intentional contamination of used oil with other hazardous waste other than minimal amounts of vehicle fuel. HSC 6.5 25250.7 Used Oil and Fuel Filters	Recy	clable Materials
Used Oil Violation # Summary R360 Failure to prevent intentional contamination of used oil with other hazardous waste other than minimal amounts of vehicle fuel. HSC 6.5 25250.7 Used Oil and Fuel Filters		
Violation # Summary R360 Failure to prevent intentional contamination of used oil with other hazardous waste other than minimal amounts of vehicle fuel. HSC 6.5 25250.7 Used Oil and Fuel Filters		
R360 Failure to prevent intentional contamination of used oil with other hazardous waste other than minimal amounts of vehicle fuel. HSC 6.5 25250.7 Used Oil and Fuel Filters		
Used Oil and Fuel Filters		Failure to prevent intentional contamination of used oil with other hazardous waste other than minimal amounts of vehicle fuel.
		d Oil and Fuel Filters

7/27/2023 Page 4 of 8

Failure to properly manage used oil filters and/or fuel filters in accordance with the requirements. 22 CCR 16 66266.130; HSC 6.5 25250.22

Lead Acid Batteries

Violation #	Summary
R290	Failure to meet the spent lead acid battery management requirements, when handling, storing, or transporting more than 10 lead acid batteries at any one time. 22 CCR 16 66266.81(a)(1)
R261	Failure of facilities that accept spent lead acid batteries in exchange or partial exchange for operable lead-acid storage batteries to comply with storage requirements by violating one of the following: 1) Storing more than one ton of spent batteries at any one location for more than 180 days. 2) Storing one ton or less of spent batteries at any one location for more than one year, or 3) Removing the electrolyte. 22 CCR 16 66266.81(a)(3)
R293	Failure to properly manage, store, and label a damaged lead acid battery in a nonreactive, structurally secure, closed container, and/or failure to label damaged lead acid battery with the date that the first battery in the container was placed there with ink, paint or other weather-resistant material so as to minimize the release of acid and lead and to protect the environment. 22 CCR 16 66266.81(b)

Certified Appliance Recyclers

Violation #	Summary
R338	Failure to obtain Certified Appliance Recycler certification (CAR) from DTSC. HSC 6.5 25211.4
R352	Failure of certified appliance recycler (CAR) to properly remove and dispose of all materials that require special handling (MRSH). HSC 6.5 25212
R339	Failure of certified appliance recycler (CAR) to provide documentation that all materials that require special handling (MRSH) have

Reusable Soiled Textiles

Violation #	Summary
R353	Failure to meet all of the following requirements for reusable soiled textile materials:
	(1) The materials or the management of the materials are not otherwise regulated by the Environmental Protection Agency pursuant to the federal act.
	(2) The materials are not used to clean up or control a spill or release that is required to be reported to any state or federal agency.(3) No hazardous waste has been added after the materials' original use.
	(4) No free liquids, as defined by Section 22-66260.10 of Title 26 of the California Code of Regulations, are released during transportation or storage of the materials.
	(5) The facility laundering or cleaning the materials maintains records of the date, type, and quantities by piecework or weight of the materials collected and laundered.
	(6) The facility laundering or cleaning the materials prepares a contingency plan which specifies procedures for handling both onsite and offsite emergencies involving the materials, and employees are trained in the execution of the plan. HSC 6.5 25144.6 (h)

Laboratory Waste

Vic	plation #	Summary
	R354	Failure of the owner or operator managing laboratory hazardous waste in a laboratory accumulation area to comply with the quantity limitations, management, training, or documentation requirements, in accordance with the HSC 25200.3.1(b). HSC 6.5 25200.3.1(b)
	R355	Failure to treat laboratory hazardous waste according to all of the following requirements: 1) treated in containers and follow published procedures 2) at a location as close as practical, and within 10 days 3) single batch quantity limit to be 5 gallons or 18 kilograms, whichever is greater 4) waste from a single procedure or from the same laboratory process 5) performed by trained personnel 6) training records are maintained for 3 years 7) waste and residuals are managed according to applicable requirements 8) records are made available for inspection. HSC 6.5 25200.3.1 (c)

_	Violation #	Summary
Ī	J067	Failure to obtain a hazardous waste facilities permit, or other grant authorization, prior to accepting, treating, storing, or disposing of
		a hazardous waste at the facility, area, or site. HSC 6.5 25201(a)

7/27/2023 Page 5 of 8

General Facility Operations

Site Safety

Violation :	# Summary
R356	Failure to have at least one employee either on the facility premises or on call (i.e., available to respond to an emergency by reaching the facility within a short period of time) with the responsibility for coordinating all emergency response measures. 22 CCR 12 66262.34(a)(4); 22 CCR 15 66265.55
H387	Failure to equip the facility with required emergency equipment, unless none of the hazards posed by waste handled at the facility could require the particular kind of required equipment. 22 CCR 12 66262.34(a)(4); 22 CCR 15 66265.32
H390	Failure of the facility to test and maintain as necessary all facility communications or alarm systems, fire protection equipment, spill control equipment, and decontamination equipment to assure its proper operation in time of emergency. 22 CCR 12 66262.34(a) (4); 22 CCR 15 66265.33
H391	Failure to maintain aisle space to allow the unobstructed movement of personnel, fire protection equipment, spill control equipment, and decontamination equipment to any area of facility operation in an emergency, unless aisle space is not needed for any of these purposes. 22 CCR 15 66265.35
H386	Failure to maintain and operate the facility to minimize the possibility of a fire, explosion, or any unplanned sudden or non-sudden release of hazardous waste or hazardous waste constituents to air, soil, or surface water which could threaten human health or the environment. 22 CCR 12 66262.34(a)(4): 22 CCR 15 66265.31

7/27/2023 Page 6 of 8

Universal Waste

> 5,000 Kg

Violation #	Summary

R340	Failure of a universal waste handler to send written notification of universal waste management to the USEPA Regional
	Administrator and obtain a federal ID Number prior to accumulating 5,000 kilograms or more of RCRA universal waste. 22 CCR 23
	66273.32(a)

Electronic Devices/CRT/PV/Modules

Violation #	Summary
R341	Failure of a universal waste handler of PV modules, electronic devices, CRTs, and/or CRT glass who might accept and accumulate, but not treat, any PV module, electronic device, CRT, and/or CRT glass from an offsite source to submit notification with all required information to DTSC no later than 30 calendar days prior to accepting any above noted waste. Failure of a universal waste handler of PV modules, electronic devices, CRTs, and/or CRT glass who might accept and accumulate, but not treat, any PV module, electronic device, CRT, and/or CRT glass from an offsite source to submit notification with all required information to DTSC no later than 30 calendar days prior to accepting any above noted waste. 22 CCR 23 66273.32(c),(e)
R342	Failure of a universal waste handler who either: 1) accepts more than 100 kilograms (or 220 pounds) of PV modules or electronic devices, CRTs, and CRT glass calculated collectively, from any offsite sources in a calendar year, or 2) generates 5,000 kilograms (or 11,000 pounds; e.g., about 200 CRTs) or more of PV modules or electronic devices, CRTs, and CRT glass calculated collectively, in a calendar year, to submit to DTSC by February 1 of every year a an annual report that includes all required information. 22 CCR 23 66273.32(d)(1), (2), (f)(1), (2)

Exporting Notification

Violation #	Summary
R343	Failure of the universal waste handler who sends electronic devices, CRTs, and/or CRT glass to any foreign destination to comply with the following: (1) Notify the Department 60 days prior to the intended export before any electronic devices, CRTs, and/or CRT glass are scheduled to leave the United States and cover all export activities extending over the next twelve (12) month or lesser period; (2) Concurrently send a copy of the notification required pursuant to subsection 66273.40(a)(2)(B) of 22 CCR to the CUPA having jurisdiction over the universal waste handler#s facility; (3) sign the export notification; and (4) include all required information in the export notification. 22 CCR 23 66273.40(a)(2), (3) and (4)

UW Management

Violation #	Summary
R321	Failure to label or mark each individual or container or the designated area of universal waste with the following: 1. Waste batteries shall be marked with "Universal Waste-Battery(ies)" 2. Mercury containing equipment shall be marked with "Universal Waste -Mercury-Containing Equipment" 3. Lamps shall be marked with "Universal Waste-Lamp(s)" 4. Electronic devices or the container or pallet where the devices are stored shall be marked with "Universal Waste-Electronic Device(s)" 5. CRTs or the container or pallet where CRTs are stored shall be marked with "Universal Waste-CRT(s)" 6. Container for CRT glass shall be marked with "Universal Waste-CRT glass". 22 CCR 23 66273.34
R330	Failure to accumulate universal waste for one year or less and to demonstrate the length of time that the universal waste has been accumulated from the date it became a waste or was received. 22 CCR 23 66273.35
R328	Failure to comply with the applicable requirements related to accumulation and containment standards for universal waste aerosol cans. HSC 6.5 25201.16(f)
R325	Failure of the universal waste handler to manage universal waste aerosol cans in a manner that prevents fire, explosion, and the unauthorized release of any universal waste or component of a universal waste to the environment. HSC 6.5 25201.16(e)
R362	Failure to notify the CUPA of aerosol can processing procedures prior to commencement of operations. HSC 25201.16(j)
R320	Failure of the universal waste handler to transfer universal waste to another universal waste handler, or appropriate destination facility. Failure to package, label, mark and placard shipments and prepare shipping papers for any universal waste that meets the hazardous materials definition in accordance with DOT 49 CFR parts 171-180. 22 CCR 23 66273.38; 49 CFR 1 172
R329	Failure of the universal waste handler to transfer universal waste to the appropriate destination facility. 22 CCR 23 66273.31(a), 66273.8(b)
R326	Failure of the universal waste handler of PV modules, electronic devices, CRTs, and CRT glass to prevent the release of the universal waste to the environment under reasonable conditions by the following methods: 1) Immediately clean spills and leaks of universal wastes; and 2) Place all universal waste spills and leaks in containers that are structurally sound and compatible with the universal waste. 22 CCR 23 66273.33.5, 66273.33.6

Training

Violation #	Summary
R318	ilure of universal waste handler to provide initial and/or annual refresher training for employees who manage or handle universal
	aste and to maintain a written record for three years of personnel who took the initial or annual training. 22 CCR 23 66273.36

7/27/2023 Page 7 of 8

General Facility Requirements

Violation #	Summary
violation n	Julilliaiv

	- Community
H394	Administration/Documentation - General 22 CCR Multiple Chapters, Multiple Sections; 40 CFR 1 265; HSC 6.5 Multiple Sections
R344	RCRA Large Quantity Generator - Administration/Documentation - General Local Ordinance
H395	Training - General 22 CCR Multiple Chapters, Multiple Sections; 40 CFR 1 265; HSC 6.5 Multiple Sections
R345	RCRA Large Quantity Generator - Training - General Local Ordinance
H396	Operations/Maintenance - General 22 CCR Multiple Chapters, Multiple Sections; 40 CFR 1 265; HSC 6.5 Multiple Sections
R357	RCRA Large Quantity Generator - Operations/Maintenance - General Local Ordinance
H397	Release/Leaks/Spills - General 22 CCR Multiple Chapters, Multiple Sections; 40 CFR 1 265; HSC 6.5 Multiple Sections
R359	RCRA Large Quantity Generator - Release/Leaks/Spills - General Local Ordinance
H398	Abandonment/Illegal Disposal/Unauthorized Treatment - General 22 CCR Multiple Chapters, Multiple Sections; 40 CFR 1 265; HSC 6.5 Multiple Sections
R361	RCRA Large Quantity Generator - Abandonment/Illegal Disposal/Unauthorized Treatment - General Local Ordinance

7/27/2023 Page 8 of 8